

For Six Month Period Ending _____

(Insert date)

Name of Registrant CHINA BOOKS & PERIODICALS, INC. Registration No. 1350

Business Address of Registrant 2929 24th Street
San Francisco, CA 94110

I-REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

(1) Residence address	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(2) Citizenship	Yes <input type="checkbox"/>	No <input type="checkbox"/>
(3) Occupation	Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

(1) Name	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(2) Ownership or control	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
(3) Branch offices	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in item 1.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?
 Yes ☐ No ☒

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Citizenship</i>	<i>Position</i>	<i>Date Assumed</i>
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal?
 Yes ☐ No ☒

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Position or connection</i>	<i>Date terminated</i>
Scott Piercy	clerical	6/30/86
Dan Hooper	clerical	7/11/86
Linda Ismert	sales (Contd. below #7) *	6/2/86

7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☐

If yes, furnish the following information:

<i>Name</i>	<i>Residence Address</i>	<i>Position or connection</i>	<i>Date connection began</i>
Bob Tang	116 Monroe St/NYC 10002	clerical	5/1/86
Doris Erazo	428 Santa Barbara/Daly City 94014	clerical	5/1/86
Shermond Hoi	1411 44th Ave/SF 94122	clerical	7/4/86
Robbin Henderson	2310 9th St/Berkeley 94710	clerical	7/4/86
Edward Loo	443 Third Avenue/SF 94118	clerical	9/1/86
Mercedes Hesselgesser	847B Cole St/SF 94117	clerical	9/15/86
Judy Hardin	251 Frederick St/SF 94117	clerical	9/1/86

* 6. (Contd.)

Patricia Kalman	clerical	7/15/86
Andrew Workman	clerical	7/11/86
Jeff Kleinbard	clerical	9/20/86
Patty Ng	clerical	9/12/86
Lisa Soto	clerical	8/22/86
James McBeath	clerical	6/3/86

II—FOREIGN PRINCIPAL

(PAGE 3)

8. Has your connection with any foreign principal ended during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

9. Have you acquired any new foreign principal¹ during this 6 month reporting period?

Yes ☐

No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

- 1) F.S. Leong, Guoji Shudian, P.O. Box 399, Beijing, People's Republic of China
- 2) Renmin Ribao Publishing House, 2 Jin Tai Xi Lu, Beijing, People's Republic of China
- 3) China Reconstructs, Wai Wen Building, Beijing 37, People's Republic of China

III—ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

- 1) Importation, wholesale & retail distribution of books, periodicals, and art items from Guoji Shudian for profit. Activities for retail distribution are identical for China Books & Periodicals, Inc. in San Francisco, New York and Chicago for Guoji Shudian. Wholesale and Mail Order distribution, through the mail and interstate transport is from San Francisco.
- 2) Printing and distribution of Renmin Ribao daily newspaper for profit. All activities for Renmin Ribao are from San Francisco.
- 3) Printing of China Reconstructs monthly magazine for profit. All activities for China Reconstructs are from San Francisco.

¹The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).
A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?
 Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

In answering YES, reference is made only to the matter of dissemination. China Books & Periodicals, Inc. is a commercial firm organized for profit to distribute books, periodicals, etc., on order of customers as a general service. Publications imported and distributed are from Guoji Shudian as indicated in (10) and (11). The newspaper printed and distributed is from film supplied by Renmin Ribao as indicated in (10) and (11). The magazine printed is from film and color separations supplied by China Reconstructs as indicated in (10) and (11).

This answer applies to the 3 stores of China Books & Periodicals, Inc. in retail distribution in San Francisco, New York and Chicago. Distribution through the mail and interstate transport and the newspaper/magazine, is from San Francisco.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals? Yes ☐ No ☒

If yes, describe fully.

²The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policy of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV—FINANCIAL INFORMATION

14. (a) RECEIPTS—MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³
February 1, 1986 through July 31, 1986

Date	From Whom	Purpose			Amount
	SAN FRANCISCO	NEW YORK	CHICAGO	TOTAL	
1) Guoji Shudian-Sales of publication:	405,343.62	27,308.06	5,929.24	438,580.92	
Amount retained-profit	33,428.71	(10,919.15)	(1,689.47)	20,820.09	
2) Renmin Ribao - Amount received for printing and distribution				1,230,860.64	
Amount retained as profit:				109,490.50	
3) China Reconstructs - Amount received for printing:				147,144.50	
Amount retained as profit:				29,513.53	
				Total	

(b) RECEIPTS—THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal? Yes ☒ No ☐

If yes, furnish the following information:

Name of foreign principal	Date received	Description of thing of value	Purpose
1) Guoji Shudian	April 7, 1986–October 6, 1986	Books, periodicals, art items to distribute for profit.	
2) Renmin Ribao	April 7, 1986–October 6, 1986	Film plates from which to print daily newspaper to distribute for profit.	
3) China Reconstructs	April 7, 1986–October 6, 1986	Film plates and color separations from which to print monthly magazine for profit.	

³A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).
⁴Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS—MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

February 1, 1986 through July 31, 1986

Date	To Whom	Purpose	Amount
	SAN FRANCISCO	NEW YORK	CHICAGO
1) <u>Guoji Shudian</u>			
Purchases	97,808.63	3,456.02	359.19
X Customs/Merch	4,505.34	323.21	68.56
Sales/Comm/Travel	10,128.19	--	--
Promo/Adv	22,795.11	427.74	132.47
Computer	6,681.98	--	--
Support	22,106.63	7,566.56	1,793.19
Supplies	3,710.93	423.18	107.94
POST/SHIP	13,929.67	317.19	86.99
Legal/Ins.	8,050.33	524.29	64.80
Wages/Bene	129,185.49	23,346.78	4,669.36
Misc	8,509.01	1,842.24	336.21
Remail Exp/China Trip	44,503.60	--	--
	\$371,914.91	\$38,227.21	\$7,618.71
			XXXXXXXXXX
			\$417,760.83
2) <u>Renmin Ribao</u>			
Subs to RR	15,639.95		
Computer	2,793.54		
Wages/Bene	16,995.23		
Printing	544,010.17		
Postage	392,514.95		
Misc/Adver	24,156.78		
Legal/Travel	125,259.52		
	\$1,121,370.14		
3) <u>China Reconstructs</u>			
Wages	2,884.31		
Printing	\$113,975.17		
MX Misc	771.49		
	\$117,630.97		

Total

15. (b) DISBURSEMENTS—THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS—POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V—POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.
- 1) Guoji Shudian
 - 2) Renmin Ribao
 - 3) China Reconstructs

⁵Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☒ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches
☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

- ☐ Public Officials ☐ Newspapers ☒ Libraries
☐ Legislators ☐ Editors ☒ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups
☐ Other (specify) _____

21. What language was used in this political propaganda:

- ☒ English ☒ Other (specify) _____ Chinese

22. Did you file with the Registration Section, U.S. Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period? Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, U.S. Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act? Yes ☒ No ☐

VI—EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

- Exhibit A⁶ Yes ☐ No ☐
 Exhibit B⁷ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶The Exhibit A, which is filed on Form CRM-157 (Formerly OBD-67) sets forth the information required to be disclosed concerning each foreign principal.

⁷The Exhibit B, which is filed on Form CRM-155 (Formerly OBD-65) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C^a, state whether any changes therein have occurred during this 6 month reporting period. Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements been filed by all of the persons named in Items 5 and 7 of the supplemental statement? Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

Yes, where required

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) personal knowledge.

(Type or print name under each signature)

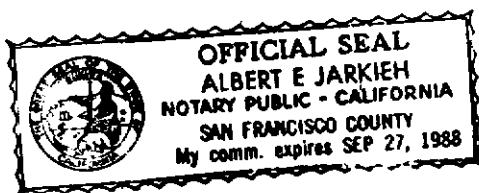
CHRIS NOYES, PRESIDENT

Phyllis Andrews Gomez
BY PHYLLIS ANDREWS GOMEZ, SECRETARY

(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Subscribed and sworn to before me at San Francisco Calif

this 14th day of November, 1986



Albert E. Jarkieh
(Signature of notary or other officer)

^aThe Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, U.S. Department of Justice, Washington, D.C. 20530.)

SENSITIVE MATERIALS LISTING

NO	ITEM	
0089-8	* FOUNDATIONS OF LENINISM (PB)	102
0113-4	IMPERIALISM, HIGHEST STAGE	302
0123-1	KARL MARX	15
0130-4	LENIN ON WAR & PEACE	10
0146-0	MANIFESTO OF COM. PARTY (PB)	858
0186-X	ON NEW DEMOCRACY, ETC.	39
0232-7	* ONE STEP FORWARD, 2 BACK (HB)	6
0257-2	* POEMS OF MAO TSETUNG (HB)	3
0271-8	PROBLEMS OF LENINISM (PB)	54
0284-X	QUOTATIONS OF MAO - SMALL	967
0310-2	REV. THOUGHT-MARX TO MAO, BOX	118
0321-8	* SEL MILITARY WRITING (HB)	0
0322-6	* SEL MILITARY WRITINGS (PB)	7
0324-2	SEL READINGS OF MAO (HB)	324
0328-5	* SEL WORKS OF MAO #1 (HB)	21
0329-3	* SEL WORKS OF MAO #1 (PB)	48
0330-7	* SEL WORKS OF MAO #2 (HB)	1
0331-5	* SEL WORKS OF MAO #2 (PB)	2
0332-3	* SEL WORKS OF MAO #3 (HB)	1
0333-1	* SEL WORKS OF MAO #3 (PB)	2
0334-X	* SEL WORKS OF MAO #4 (HB)	1
0335-8	* SEL WORKS OF MAO #4 (PH)	2
0336-6	* SEL WORKS OF MAO #5 (HB)	0
0337-4	* SEL WORKS OF MAO #5 (PB)	8
0338-2	SEL WORKS OF MAO, 5 VOLS (HB)	1
0339-0	SEL WORKS OF MAO, 5 VOLS (PB)	2
0356-0	SIX ESSAYS ON MILITARY AFFAIRS	16
0357-9	SOCIALISM UTOPIAN & SCIENT	104
0372-2	STATE AND REVOLUTION	199
0422-2	WAGES, PRICE & PROFIT	171
0426-5	WHAT IS TO BE DONE? (PB)	240
0451-6	FIVE ESSAYS ON PHILOSOPHY	176
0456-7	MAO TSE-TUNG ON LIT. & ART	8
0529-6	* ORIGIN OF FAMILY	11
0545-8	* MARX-ENGELS-MARXISM (PB)	4
0547-4	WAGE, LABOUR & CAPITAL	143
0561-X	MANIFESTO OF COM. PARTY (HB)	137
0563-6	* FOUNDATION OF LENINISM (HB)	0
0841-4	CHINA'S SOCIALIST ECONOMY (PB)	63
0863-5	RESOLUTION ON CPC HISTORY	161
0932-1	* CHINA AND WORLD #1	45
0970-4	FIVE ARTICLES (PB)	37
1052-4	MEMOIRS OF CHNS MARSHAL	1
1115-6	* CHINA AND WORLD #2	45
1144-X	* CHINA AND WORLD #3	80
1160-1	* SIXTH 5 YR PLAN OF PRC '81-85	9
1263-2	* CHINA AND WORLD #4	35

RUN DATE: 5-NOV-86

SENSITIVE MATERIALS LISTING

NO

ITEM

1305-1

1676-X

1772-3

SEL WORKS OF DENG XIAOPING(PB)

SEL ARTICLE OF INT STUDIES #1

CHINA AND WORLD #7

192

18

0

50 SENSITIVE ITEMS ON FILE

UNITED STATES DEPARTMENT OF JUSTICE
REGISTRATION UNIT
CRIMINAL DIVISION
WASHINGTON, D.C. 20530

NOTICE

Please answer the following questions and return this sheet in triplicate with your supplemental statement:

1. Is your answer to Item 16 of Section V (Political Propaganda - page 7 of Form OBD-64 - Supplemental Statement):

Yes X or No

(If your answer to question 1 is "yes" do not answer question 2 of this form.)

2. Do you disseminate any material in connection with your registration:

Yes or No

(If your answer to question 2 is "yes" please forward for our review copies of all such material including: films, film catalogs, posters, brochures, press releases, etc. which you have disseminated during the past six months.)


Signature

November 14, 1986
Date

by Phyllis Andrews Gomez/Secretary
Please type or print name of
signatory on the line above

Chris Noyes/President
Title